



**Armagh City  
Banbridge  
& Craigavon**  
Borough Council

# **FINANCIAL ASSISTANCE POLICY**

# **APPLICANT GUIDANCE MANUAL**

**MARCH 2024**

**FOR 2024-25 CALL 2 REVENUE GRANT  
3A COMMUNITY EVENTS APPLICATIONS  
ONLY INCLUDING CHRISTMAS TREE  
SWITCH-ON EVENTS**

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# 1. Introduction

- 1.1. The purpose of this document is to provide guidance for organisations applying for financial assistance from Armagh City, Banbridge and Craigavon Borough Council. It is inevitable that a guidance manual cannot cover every single aspect of funding therefore potential applicants are encouraged to discuss individual applications with the relevant Council officer if they are uncertain about their eligibility.
- 1.2. If Council is made aware of a potential breach of health and safety legislation e.g. in relation to fun days or events, we reserve the right not to fund, or to withdraw funding
- 1.3. The guidance document sets out the grant programmes and describes the process for making applications. In addition to the criteria used for each grant programme, Council will also consider a range of other factors to determine whether grants should be paid and at what level. These will include Council's strategic priorities, the number and range of applications received and the amount of funding available. These factors will vary from year to year and it does not follow that something, which Council funds one year, may be funded in subsequent years.
- 1.4. In an attempt to minimise wasted effort on ineligible or ill-conceived applications, a self-assess eligibility check should determine whether an application will progress towards assessment. Council would recommend that you do not submit an application if your organisation or project appears to be ineligible without first discussing with a Council Officer. Unless stated, most evidence/documents will only be requested if an application is successful, although applicants are asked to ensure these are available when they apply.
- 1.5. Please note it remains the responsibility of the organisation to check the application details are correct prior to submission. The assistance of a Council officer in developing the application does not automatically guarantee that the application will be successful as all applications are subject to a scoring process.
- 1.6. With the exception of larger events, wherein an Events Plan and Risk Assessment must be provided with the application. Please refer to the relevant grant detail, which will give more information, if applying for a larger event. Please note if the relevant paperwork is not submitted with the application, this will render the application ineligible.
- 1.7. Please note all programmes and events must adhere to whatever Covid regulations are in place at the time of the programme or event and a Covid risk assessment should be included as part of your planning process.
- 1.8. **This version of the Applicant Guidance Manual is only relevant for 3a Small Events and Christmas Tree Switch on applications only for Financial Assistance in Call 2 Revenue Grant Programmes 2024 - 2025 (25 March – 26 April 2024). Separate Guidance Manuals exist for you to use during application for each revenue grant. Do not use this manual in any later calls.**
- 1.9. All applications must be submitted by: **12 noon on Friday 26 April 2024.**

Contact Point:

The initial point of contact for financial assistance is as follows:

Tel: Valerie Armstrong on 07515 607480

Email: [fap@armaghbanbridgecraigavon.gov.uk](mailto:fap@armaghbanbridgecraigavon.gov.uk)

## 2. What Financial Assistance Does Council Offer?

2.1. Council offers six funding programmes to community groups as follows:

Grant Programme	Sub-Programme	Open this call?
<b>Revenue Grants</b>		
<b>Community Grants</b>	a. Community Development Grants i. Micro Grants (up to £1,000) which include seeding or start up grants ii. Small Grants (£1,001 - £2,000) iii. Medium Grants (£2,001 - £10,000, max 50% of eligible costs)	Yes
<b>Arts, Culture and Events Grants</b>	a. Community Events Fund (up to £1,000) b. Large Event Fund (up to £10,000) c. Arts Projects Fund (up to £2,000) d. International Tourism Event Fund (up to £15,000)	Yes
<b>Good Relations Grants</b>	a. Micro Grants (up to £1,000) b. Small Grants (£1,001 - £2,000) c. Medium Grants (£2,001 - £10,000, max 50% of eligible costs)	Yes
<b>International Linkages Grant</b>	up to £3,000	No
<b>Civic Representation Grant</b>	a. From individuals: max funding of £200 per individual where at least one overnight stay is essential, or £100 where no overnight stay is required.  b. From constituted organisations: max funding of £500 based on costs of up to £500 for overnight accommodation, or up to £250 where no overnight stay is required.	No
<b>Capital Grants</b>		
<b>Capital Projects Grants</b>	a. Small Scale Capital Project Fund	Yes (until 12/4/2024)
	b. Large Capital Project Fund	Yes

- 2.2. Each grant programme and sub-programme has distinct criteria and indicative maximum funding. Full details of each grant programme open in this call can be found in.
- 2.3. Groups can hold a maximum of two successful applications per financial year. Applications to the following do not count towards the two successful grants:
- An application to the Community Event Fund for financial assistance for a Christmas Tree Switch-on event in a village or small town where Council provides either the tree or the lights.
- 2.4. The two applications can be made to the same grant programme but a group can only hold one of the following larger revenue grants:
- Community Development Medium Grant
  - Large Event Fund
  - International Tourism Event Fund
  - Good Relations Medium Grant
- 2.5. Once a group has returned their signed Form of Acceptance and received a payment, it is deemed at that stage they hold a successful grant even if they subsequently decline it.
- 2.6. Groups cannot submit two separate applications for the same project, even if applying for different elements of the same project - this includes core-running costs.
- 2.7. All offers of financial assistance unclaimed at the end of the financial year following the year offered are withdrawn.
- 2.8. Groups who hold a Service Level Agreement (SLA) with Council:**
- cannot apply to the Financial Assistance Programme if the value of their SLA(s) exceeds £10,000\*
  - can hold one successful application if the value of their SLA(s) is up to £10,000\* - any application to FAP cannot be to 'top-up' what has been awarded to the group under the SLA ie it must be for a different project
- (\*Applications for Christmas tree Switch-on events are exempt from the above)

### 3. Application Timetable

- 3.1. Groups applying in Call 2 can expect to hear the outcome of their application in June 2024.
- 3.2. If successful, the grant will not be paid to a group until all outstanding paperwork from any previous year's funding is provided, satisfactorily vouched, and any monies due are returned.
- 3.3. Details of the calls for applications for revenue projects taking place during the 2024-25 financial year are as follows but can be subject to change and budget:

	<b>Open for applications</b>	<b>Project delivery Period</b>
Call 1	6 Nov – 1 Dec 2023	01/04/2024 – 31/03/2025
Call 2	25 March – 26 April 2024	01/07/2024 – 31/03/2025
Call 3	19 August – 13 Sept 2024 <b>(Subject to Budget and change)</b>	01/12/2024 – 31/03/2025

### 4. Who Can Apply for Financial Assistance?

- 4.1. Except where specifically stated for certain grant programmes, applications will only be accepted from formally constituted, community managed, and autonomous organisations. Such organisations should be not-for-personal profit, with wider community interests at heart, working at a local community level. The organisation's governing document must clearly state that:
- Membership is inclusive of the identified community and open to its full range of opinion;
  - Each year the organisation holds an Annual General Meeting (AGM) at which each member has an equal vote;
  - The organisation has a management committee or board of directors which is appointed at the AGM using a clear democratic process for the selection of members i.e. election;
  - The committee/board presents the report for the year at the AGM; and
  - An annual statement of accounts is presented at the AGM
- 4.2. It is important that the groups funded are inclusive of their identified community and open to its full range of opinion i.e. do not exclude any part of that community. Each member must have equal status and be entitled to vote at the AGM.
- 4.3. Decisions about the organisation and its activity must only be made by members and no other organisation can have the ability to impose a decision on the group i.e. they must be fully autonomous organisations. Groups must be managed by their community which means that the management committee/board has to come from the membership and be elected at the Annual General Meeting.
- 4.4. The management committee or board must be accountable to their membership for

the work done and money spent during the year. Groups should be able to provide a copy of independently audited/verified accounts upon request.

- 4.5. Values inherent to community development include social justice, self-determination, working and learning together, sustainable communities, participation and reflective practice. These values are aligned to Council's statutory duties in tackling inequality and promoting good relations and the groups that Council fund must in no way contribute to inequality or poor relations.
- 4.6. Council will not consider applications from:
- Organisations that:
    - Discriminate against anyone on the basis of religious belief, political opinion, racial group, age, marital status or sexual orientation, gender, or disability;
    - Are in poor financial health or cannot show effective financial control;
    - Are not prepared to share learning from their project with Council and other relevant groups.
  - Individuals (only constituted groups/organisations can apply for funding)
  - Commercial organisations (except social enterprise).
  - Statutory organisations (except through a partnership arrangement with Council where key priority outcomes are delivered)
  - Schools, Parent Teacher Associations (PTA's) and After school activity projects, run by either internal or external organisations, except where there is a clear need demonstrated that the project being funded will serve the wider community and this need is not being met by another organisation e.g. inter-school activity.
- 4.7. In two particular grant programmes (i.e. '1b - Community Development Grants' and '4 - Good Relations Grants') the Medium Grant sub-programme is aimed at Forums and Network Support Organisations. Our definition of these is as follows:
- A Forum is where a number of organisations come together to work for the benefit of a community defined by geography or interest. Membership must predominantly be made up of group representatives (rather than individuals) and this must be clearly stated in the Forum's constitution. Council would expect there to be a minimum of 8 groups represented in a Forum's membership.
  - A Network Support Organisation also has a membership made up of groups, but its purpose is to provide support to those groups through the delivery of a range of services including, but not restricted to, training, regular information-sharing (eg newsletters), networking events, physical support services, and practical assistance to groups (eg applying for funding). Such organisations must be open to membership from, and delivering services, across the Borough.
- 4.8. If you are a branch of a larger organisation, please see the guidance in Appendix 10.1 about how you may apply.

## 5. What Can We Apply For?

- 5.1. Council will only consider funding for projects, activities and organisations which meet the basic eligibility criteria. There may also be specific eligibility criteria applied to individual grant programmes.
- 5.2. The list below only includes those elements which are common to all. An application that falls outside these eligibility criteria will not be considered further.
- 5.3. Basic Eligibility Criteria

The overarching principle to be applied to all financial assistance should be that of meeting local needs which have been identified and prioritised by Council or by others but acknowledged by Council. Any use of funding that cannot be shown to meet the following basic requirements will not be provided with funding:

- Have a clearly identified need.
- Can deliver outcomes which meet this need.
- Fit with a clear corporate objective or priority of Council.
- Be delivered by a credible organisation with the capacity to deliver the project as stated.
- Meet the specific objectives of the grant programme being applied to

Council will **not** consider applications for projects which:

- Have no significant benefit to the Council area;
- Provide no potential benefit to the public, either in the short or long term;
- Could be carried out on a commercial basis;
- Have already taken place or are already under way at the time of the assessment;
- Duplicate what already exists;
- Are fundraising events or activities;
- Are of a party political nature;
- Promote a particular religion; or
- Are against Council policy.



## 6. Community Events Fund (Small Events)

<b>Grant Programme: Community Events Fund</b>		
<b>Summary</b>	For local small scale community festivals or events	
<b>Award</b>	up to £1,000	
<b>Grant Programme Eligibility</b>	Groups/projects must: <ul style="list-style-type: none"> <li>• Be community based/not for personal profit</li> <li>• Have 90% of beneficiaries in Council area</li> </ul>	
<b>Grant Programme Objectives</b>	Your project should deliver three of the most relevant objectives from the list below: <b>(only choose 3)</b> <ul style="list-style-type: none"> <li>• Improving community spirit</li> <li>• Increasing community involvement</li> <li>• Increasing social inclusion</li> <li>• Building skills and capacity</li> <li>• Exploring heritage, culture or art</li> <li>• Widening understanding and appreciation of communities of interest</li> </ul>	
<b>How will applications be assessed?</b>		
<b>Grant Programme Eligibility (see</b>	Be community based/not for personal profit	Y/N
	90% of beneficiaries in Council area	Y/N
<b>Assessment Criteria</b>	Need demonstrated	Y/N
	Group able to deliver	Y/N
	Value for money (cost per significant beneficiary impact)	Y/N
	Fits with Council priorities	Y/N
	Meets specific grant programme objectives	Y/N

### Notes:

- Applications to this grant programme should only be for events or festivals.
- One application should be made per event/festival. A festival is viewed as a series of events under the one theme run over consecutive days or within a short timeframe eg over two weeks.
- Christmas events not related to a Christmas Switch-on Events are guided by duration of event (see box below for durations allowed)
- Applications for time-bound programmes e.g. six week intergenerational project should be made to other more relevant grant programmes.
- Trips are not events and are ineligible under this grant programme.

- f) Maximum amounts available under this grant programme are determined by the following criteria:

Activity	Criteria	Maximum Awards (for eligible costs)
Community Events (including Family Fun Days, Christmas parties & Festivals)	One day	£500
	Two days	£750
	More than two days	£1,000

- g) See Section 3.3 of this Manual for details of the period covered in this call.
- h) **Please note:** if your event involves closing one or more roads, a recent change in the legislation means you must apply to Council for a road closure and this will incur additional cost. For more details please contact Council's Environmental Health service on 0300 0300 900.
- i) For events awarded a grant through this grant programme only, where a road closure is unavoidable, costs incurred to close the road will be reimbursed to the group upon evidence of payment of road closure costs.

Eligible Costs	Ineligible costs
Venue hire – This must be a publicly recognised venue e.g. a Community Centre (not a domestic property)	Gifts, donations, prizes, flags, bunting, bank/loan interest, musical instruments, legal fees, kits/uniforms, private or unfunded pensions, alcohol, refreshments, food (except in exceptional circumstances e.g. sweets/selection boxes for Christmas Tree Switch-on events)
Hire or purchase of equipment up to £500, must be linked to the Event. If you want to purchase equipment ONLY then please apply for a small capital grant.	Any costs or projects which are clearly another statutory agency's responsibility
Printing costs for advertising only linked to the programme and not exceeding £200	Projects or activities which are delivered outside the Council area
Materials relevant to the Event	Salaries, wages and administration costs

Medals and Trophies	Costs incurred prior to a funding offer from Council (retrospective funding)
Road closure costs	Fundraising events and projects
Public Liability Insurance costs for an Event	Trips or transport of any kind
Hire of a Mobile Accessible Toilet	Fundraising events and projects
	Costs which are not clearly linked to the event
	Costs that are poor value for money, or that are purchased from outside of Council procurement guidelines
	Costs that are already covered by other funding or income sources.
	Costs that can be recovered from elsewhere, e.g. VAT.
	Payments made to any members of the applicant group or organisation.
	Fireworks and Beacons of any kind

## 7. Christmas Tree Switch on Events

Grant Programme: Community Events Fund – Christmas Tree Switch on events only		
<b>Summary</b>	Christmas Tree Switch on Events Only	
<b>Award</b>	up to £1,000	
<b>Grant Programme Eligibility</b>	Groups/projects must: <ul style="list-style-type: none"> <li>• Be community based/not for personal profit</li> <li>• Have 90% of beneficiaries in Council area</li> </ul>	
<b>Grant Programme Objectives</b>	Your project should deliver three of the most relevant objectives from the list below: <b>(only choose 3)</b> <p>our project should deliver <b><u>at least three</u></b> of the following objectives:</p> <ul style="list-style-type: none"> <li>• Improving community spirit</li> <li>• Increasing community involvement</li> <li>• Increasing social inclusion</li> <li>• Widening understanding and appreciation of communities of interest</li> </ul>	
How will applications be assessed?		
<b>Grant Programme Eligibility</b>	Be community based/not for personal profit	Y/N
	90% of beneficiaries in Council area	Y/N
<b>Assessment Criteria</b>	Group able to deliver e.g. will undertake risk assessment, adhere to health and safety, safeguarding	Y/N
	Value for money (cost per significant beneficiary impact)	Y/N
	Amount requested meets population criteria outlined below	Y/N
	Meets specific grant programme objectives	Y/N

### Notes:

- Only 1 Christmas Tree event can be applied for per applicant/Group per year.
- Christmas Tree Switch on events must be community based and held in your local area, trips out to restaurants/cinemas/pantos'/dinners/hampers etc are not eligible (even if within the Borough). Selection boxes and sweets are the only eligible food items for Christmas Tree Switch on events.
- Living Christmas trees are an eligible cost.
- Christmas Tree Switch on Events will not be considered as part of the 2 grants allowed per year rule, so any group can apply for a Christmas Tree Switch on event in any call during the 24/25 year.
- Please note delivery should not take place before 11<sup>th</sup> November 2024.
- Please note – we will use the most up to date NISRA results to calculate

population figures when scoring all Christmas related events as per the guidance below.

g) Maximum amounts available under this grant programme are determined by the following criteria:

<b>Activity</b>	<b>Criteria</b>	<b>Maximum Awards (for eligible costs)</b>
Christmas Tree Switch-on events (only)	Population under 1000	£500
	Population 1,000-4,000	£750
	Population over 4,000	£1,000

e) See Section 3.3 of this Manual for details of the period covered in this call.

**f) Please note:** if your event involves closing one or more roads, a recent change in the legislation means you must apply to Council for a road closure and this will incur additional cost. For more details please contact Council's Environmental Health service on 0300 0300 900.

g) For Christmas Tree Switch on events awarded through this grant programme only, where a road closure is unavoidable, costs incurred to close the road will be reimbursed to the group upon evidence of payment of road closure costs.

<b>Eligible Costs</b>	<b>Ineligible costs</b>
Venue hire – This must be a publicly recognised venue e.g. a Community Centre (not a domestic property)	Gifts, donations, prizes, flags, bunting, bank/loan interest, musical instruments, legal fees, kits/uniforms, private or unfunded pensions, alcohol, refreshments, food (except in exceptional circumstances e.g. sweets/selection boxes for Christmas Tree Switch-on events)
Hire or purchase of equipment up to £500, must be linked to the Event. If you want to purchase equipment, ONLY then please apply for a small capital grant.	Any costs or projects which are clearly another statutory agency's responsibility

Printing costs for advertising only linked to the programme and not exceeding £200	Projects or activities which are delivered outside the Council area
Materials relevant to the Event	Salaries, wages, and administration costs
Sweets & Selection boxes	Costs incurred prior to a funding offer from Council (retrospective funding)
Road closure costs	Fundraising events and projects
Public Liability Insurance costs for an Event	Trips of any kind
Living Christmas Trees	Fundraising events and projects
Hire of a Mobile Accessible Toilet	Costs which are not clearly linked to the event
	Costs that are poor value for money, or that are purchased from outside of Council procurement guidelines
	Costs that are already covered by other funding or income sources.
	Costs that can be recovered from elsewhere, e.g. VAT.
	Payments made to any members of the applicant group or organisation.
	Fireworks and Beacons of any kind

## 8. Assessment Process

8.1 Assessment will be carried out by officers using a standard assessment process. In broad terms the assessment will consider:

- A basic eligibility check applicable to all applicants
- A grant programme eligibility check

8.2 Applications which pass the eligibility checks will proceed for further assessment as follows:

- For grant programmes offering funding up to a maximum of £1,000 (Community Development Micro Grants, Community Events Fund, Good Relations Micro Grants) applicants must meet the assessment criteria for that grant programme. If the application meets the criteria, funding will be offered.
- For all other grant programmes applicants will be scored against the assessment criteria for that grant programme
- Some awards are determined by event/project duration or population size
- 8.3 Scoring and Awards
  - Applications which are scored will not be funded if they **do not score 50% or more**
  - Applications scoring 50-69% will be awarded that percentage of the total eligible costs applied for
  - Applications scoring 70%+ will be awarded 100% of the eligible costs they applied for

8.4 Where a Call is oversubscribed, all applications eligible to be funded will have their award reduced by the same percentage (top sliced) to ensure the funding offered is within the budget available.

8.5 The exception to 8.4 is where a grant programme within a Call has a specific budget allocated to that grant programme. In this case, if the grant programme budget is oversubscribed, awards will be top sliced to ensure the funding offered is within the budget.

8.6 Grants will also have to be considered and ratified by elected Members through the Council Economic Development and Regeneration Committee.

## 8.7 Decisions

The assessment process will produce one of three outcomes:

- An application may be rejected as it fails to meet the criteria, or has failed to reach the pass score. In such cases, officers will complete a tick box form setting out the reasons for the rejection and the group will receive a letter detailing reasons for rejection.
- If it is clear there was a lack of clarity on the information requested in the Application Form or Guidance Manual, a decision will be made to review the project after more information is obtained. In this case, a letter will go to the group requesting additional information and setting a time limit for this process. Failure to meet the time limit would result in an automatic rejection. Assuming the information is returned within the time limit the project will be reassessed using the standard process or, in certain circumstances simply checked by an officer to make sure that any shortfalls have been addressed. By this stage, the applicant would be offered a grant (as below) or rejected.
- Offer of assistance – a successful application would be given an offer of assistance in writing setting out the amount of funding, the targets and outcomes associated with this, monitoring and evaluation requirements and any other conditions to be applied. Acceptance of this would trigger the need for proof of other key documentation prior to release of grant.

## 8.9 Review Process

- **Please note we will not allow or proceed to any appeal from an Ineligible application outcome**, however you may still wish to request Feedback from an ineligible application within the correct timeframe. All Feedback requests must come by email into [fap@armaghbanbridgecraigavon.gov.uk](mailto:fap@armaghbanbridgecraigavon.gov.uk)
- Following the decision to reject an application, the applicant organisation will be informed in writing stating the reasons for the decision. The applicant organisation may request a review of the decision. The formal Review will provide an independent process through which the applicant organisation will have the opportunity to demonstrate in writing to the Review Panel that either:
  - Option1 - the decision was wrong because the Council failed to take into account the information contained within the written application or took into account information that was not contained within the written application;

and/or

- Option 2 - there was a failure in adherence to procedures and guidance issued with the call for applications or systems that materially affected or could have materially affected the decision.

or



- Option 3 - that officers involved in the assessment process have had a clear Conflict of Interest and have failed to declare this in the appropriate manner.

**Appeals on any other grounds will not be considered. It should also be noted that no additional supporting documentation will be permitted at this stage.**

Requests for a review must be submitted online through the same system the application was submitted by the group. **The request for a review must be made within 28 days of the date of the letter notifying you of the outcome of your application for financial assistance.**

#### 8.10 Payment of Grant

- Any groups who are successful in their application will not receive any money until:
- All previous year's paperwork has been supplied and any outstanding monies have been returned. This includes satisfactory evidence of how previous grants have been spent (vouching) and a completed post-project evaluation form.
- All documentation requested within the new Letter of Offer has been provided.
- Subject to the above point, groups awarded over £5,000 will only receive 75% of their grant upfront with the balance payable upon receipt of all post-project paperwork. Groups awarded up to £5,000 will receive 100% of their award upfront.
- Reprofile of award – if a successful organisation wishes to reprofile any part of their award this must be agreed in advance with a FAP officer. **Reprofiling is allowed at 15% of the grant total.**

#### 8.11 Conflict of Interest

Organisations should be aware of any potential conflicts of interest arising in their use of suppliers. Further information on managing conflicts of interest can be obtained from Charity Commission ([Home | The Charity Commission for Northern Ireland \(charitycommissionni.org.uk\)](http://Home | The Charity Commission for Northern Ireland (charitycommissionni.org.uk))) and NICVA ([NICVA](http://NICVA)).

#### 8.12 Fraud

In the event of suspected fraud, or other irregularity, Council will refer the matter to the Council Fraud and Financial Investigation Panel for further investigation. Council retains the right to withhold making an award until the outcome of an investigation is complete and/or to impose appropriate sanctions in the event of an irregularity e.g. ineligible to apply to FAP until agreed conditions have been met etc.

## 9 Acknowledging a Grant Award

9.1 If your organisation is successful in securing financial assistance from Armagh City, Banbridge and Craigavon Borough Council, it is mandatory to acknowledge this support by including the council logo on all promotional material (both print and non-print). The logo in its various forms and logo usage guidelines are available upon request from:

**Armagh Office:** Caitriona Rafferty      Tel: 0300 0300 900 Ext: 61291  
Email: [caitriona.rafferty@armaghbanbridgecraigavon.gov.uk](mailto:caitriona.rafferty@armaghbanbridgecraigavon.gov.uk)

**Banbridge Office:** Sharon Harrison      Tel: 0300 0300 900 Ext: 61300  
Email: [sharon.harrison@armaghbanbridgecraigavon.gov.uk](mailto:sharon.harrison@armaghbanbridgecraigavon.gov.uk)

**Craigavon Office:** Hugh Carey      Tel: 0300 0300 900 Ext: 61295  
Email: [hugh.carey@armaghbanbridgecraigavon.gov.uk](mailto:hugh.carey@armaghbanbridgecraigavon.gov.uk)

9.2 When the council logo is printed on publications (eg books/booklets) produced by your organisation, you must include the following statement:

**This publication is grant-aided by Armagh City, Banbridge and Craigavon Borough Council. The views expressed herein are not necessarily shared or endorsed by the council. The council does not accept any responsibility for these views.**

9.3 Failure to acknowledge funding in this way may result in your organisation's award being withdrawn, which in turn may affect future applications

## 10.1. Guidance for Branches of Larger Organisations

We expect groups who wish to apply for financial assistance to have a constitution (or rules/standing orders/bye-laws) in their own name. However, we recognise this may prove challenging for local branches of regional or national organisations.

If a branch of a larger organisation does not have a constitution in the name of the branch, then the branch has one of two options:

### Option 1:

If the branch:

- i. has its own management committee,
- ii. has a UK bank or building society account in the name of the branch and,
- iii. produce their own annual accounts

Then the group can apply in their own name providing they get a letter of undertaking from the parent body (see required format below). The letter of undertaking states that ultimate legal and accounting responsibility for the grant will lie with the parent body.

### Option 2:

Where Option 1 is not possible, then the group should get the parent body to apply on their behalf.

### Letter of Undertaking

The letter of undertaking from the parent body must take the following form:

To: The Financial Assistance Programme Manager.

I confirm that *[write name of organisation applying]* is a branch of *[write name of bigger organisation]* and I am authorised to sign this letter on its behalf.

My organisation supports the application from this branch and I understand that ultimate legal and accounting responsibility for the grant will lie with us as the parent organisation.

I confirm that the answers in the application form submitted by the branch are true and accurate and that both the parent organisation and the branch will comply with the Council's terms and conditions of grant.

Signed:

Date:

Name:

Job title:

## 10.2 Council Priorities

### Council's Corporate Plan

Any financial assistance provided by Council must further the delivery of Council's Corporate Plan 2018-2023 and be consistent with its contents. The table below sets out Council's commitments (or priorities) to help achieve the vision which are relevant to applying for financial assistance. Applicants should consider this table and need to explain how their application can help further Council's priorities:

<p><b>Confident Community</b></p> <ul style="list-style-type: none"> <li>• Empower communities to contribute to decisions that matter to them.</li> <li>• Increase range of opportunities for people to engage in community life.</li> <li>• Provide community activities and events that bring enjoyment and a sense of civic pride.</li> </ul>	<p><b>Healthy Community</b></p> <ul style="list-style-type: none"> <li>• Work with partners to reduce inequalities in health.</li> <li>• Provide a range of quality opportunities for everyone to be active and healthy.</li> <li>• Ensure high standards of health protection and safety are met across the Borough.</li> </ul>	<p><b>Welcoming Community</b></p> <ul style="list-style-type: none"> <li>• Empower communities to respect each other, build relationships, grow together.</li> <li>• Shape and design places that value diversity and are welcoming, safe and accessible for all.</li> <li>• Enable everyone to be included in community life.</li> </ul>
<p><b>Enhanced Place</b></p>	<p><b>Creative Place</b></p>	<p><b>Tourism Economy</b></p>
<ul style="list-style-type: none"> <li>• Increase community understanding and enjoyment of the environment.</li> <li>• Develop and enhance our assets in sustainable way.</li> <li>• Promote civic pride and access to our local places.</li> </ul>	<ul style="list-style-type: none"> <li>• Seek investment to preserve uniqueness of our heritage.</li> <li>• Increase participation in arts and culture to high quality creative experiences.</li> <li>• Improve accessibility to high quality creative experiences.</li> </ul>	<ul style="list-style-type: none"> <li>• Understanding our visitors.</li> <li>• Create, develop and provide a unique visitor proposition, tourism narrative and brand.</li> <li>• Enhance visitor experiences through places, accommodation &amp; attractions.</li> </ul>

## 10.3 List of Required Policies and other documents

### Essential Policies and Documents

Any organisation receiving funding from Council should be expected to have the following as a minimum (this is in addition to the Funders Passport documents eg constitution, accounts). Discretion will be used to determine how necessary each of these are depending on the size of the organisation and the nature of their work.

- Appropriate insurance cover. This may include:
  - Employer's liability insurance (if staff are employed).
  - Public liability insurance (including cover for volunteers).
  - Specific event insurance if an event is being organised.
  - Directors and Officers liability insurance if the organisation is a limited company.
- A Safeguarding Policy (Child Protection Policy and/or Vulnerable Adults policy). In certain circumstances. A more comprehensive policy should be expected if the organisation is working specifically with children or vulnerable adults.
- A basic volunteering policy.
- A basic equal opportunities policy.
- A basic disability and age discrimination policy.
- Simple financial procedures policy.

#### Additional Policies required if Staff are employed

The following policies must be in place if the organisation employs staff:

- Fair employment policy.
- More comprehensive health and safety policy.
- Recruitment policy.
- Staff induction policy (this may be rudimentary if there is only one member of staff).
- Staff appraisal system (ideally).

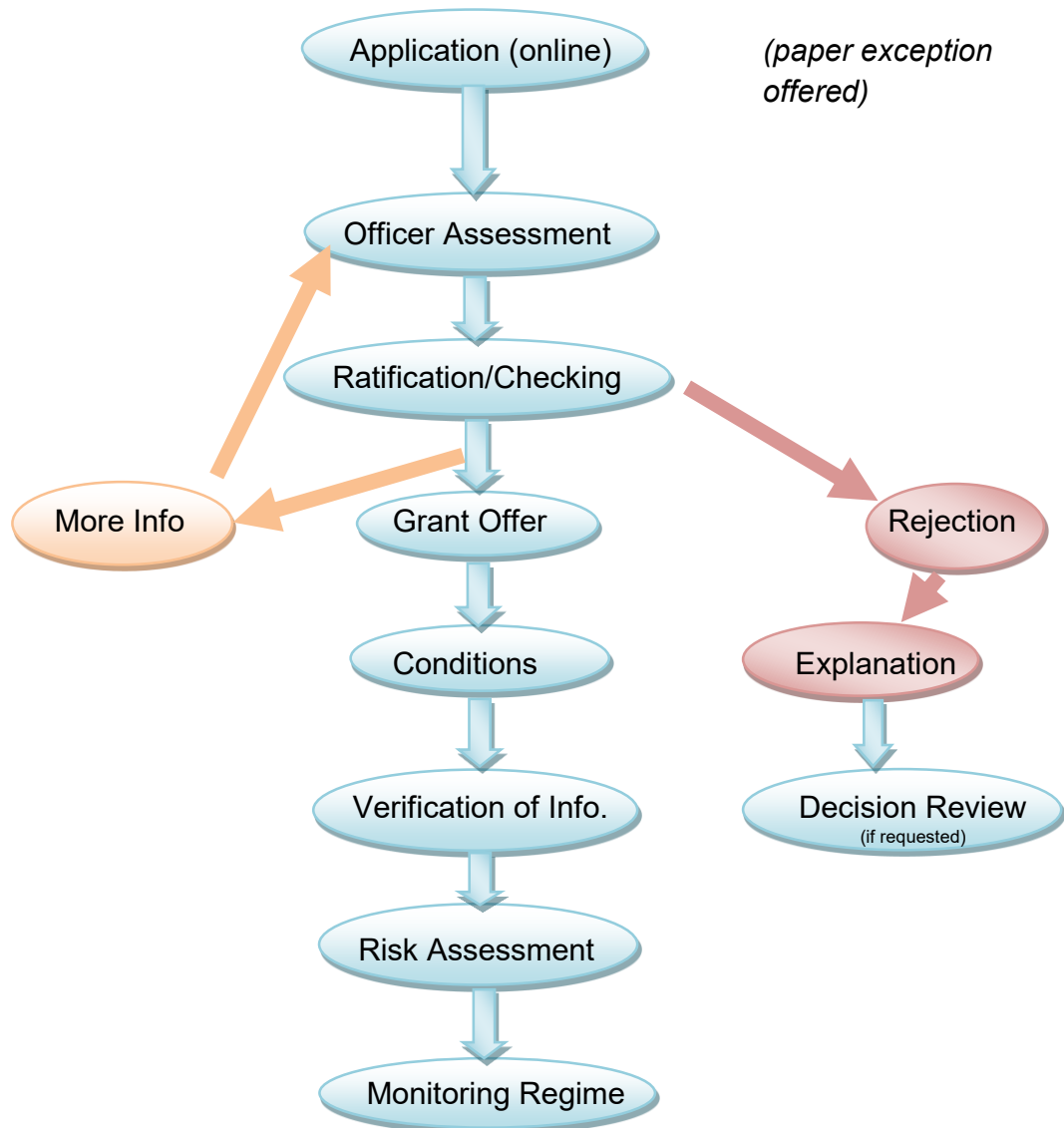
#### Other Policies

Ideally a larger organisation will have many of the following. These may be considered essential for larger organisations and would represent best practice for small organisations. In order of importance these are likely to include:

- A strategic or operational plan.
- Data protection policy (particularly if the organisation is managing and maintaining data about individuals, members, staff or the public).
- Conflict of interest register/policy.
- A financial reserves policy.
- More comprehensive financial management policies.
- An assets register or inventory.
- Fraud policy.
- Document retention policy.
- Travel policy.
- Internet policy.
- Information asset policy.
- Procurement or tendering procedure policies.

## 10.4 Application Process Diagram

### Generic Application and Assessment Process



## 10.5 Frequently Asked Questions (FAQs)

**i. Q: We are a branch of a larger organisation – can we apply?**

A: See the specific guidance in 10.1.

**ii. Q: We will struggle to complete an application online – can we get any help?**

A: Council will offer support to groups to use the online system. Groups looking support should contact Council using the details on page 2 of this Guidance Manual, however this is subject to Officer availability during the application window. Support will also be available from Network Support Organisations operating in the Borough. When seeking support groups must bear in mind the following:

- It is essential to request support at an early stage as it cannot be guaranteed that support will be available at the last minute.
- To make the most of everyone's time and get the most out of the support being offered, groups should attend the support sessions with the answers to the questions described in 9.7 of this Guidance Manual to hand.

**iii. Q: Can youth groups apply for funding?**

A: Council generally will not fund activities for which another organisation has a statutory responsibility. The Education Authority has a statutory responsibility for youth work. So Council will not fund the core costs of a youth organisation. However, consideration may be given to funding a time-bound project where either:

- a) there are clear good relations, or health and wellbeing benefits for children and young people (eg drug awareness project or anti-bullying project), or
- b) The direct beneficiaries may be more than just young people eg an intergenerational project, or a project that works with parents and children.

In certain exceptional cases, where need can be clearly identified and there are compelling reasons why the statutory body concerned cannot fund an important project, Council may take a more flexible approach and fund in partnership with other statutory bodies.

**iv. Q: Can a church apply for funding?**

A: Council can accept applications from churches or other faith organisations as long as the project being applied for does not include the promotion of faith or religion.

## 11. Completing the Application Form

This section offers help regarding the type of information we are looking for when answering specific questions. It is designed to help you - so reading it and referring to it when completing the application form is likely to improve your chances of getting funding.

In general, please note the following:

- You should answer each question as fully as possible and do not be afraid to repeat part of an answer to another question if it is relevant to the question you are working on. Officers will not be able to go looking for the answers to questions in other parts of the application.
- You must also assume the person assessing your application knows nothing about your project.

### SECTION 1 – ORGANISATION

#### Organisation Details

Please ensure the accuracy of the contact details for the Contact Person as this will be the only person we will contact regarding your application. If your Contact Person changes during the application process or you know the Contact Person will not be contactable during that period, you must provide us with an alternative Contact Person. In the event we need to seek clarification on your application but cannot make contact with the Contact Person, your application will be rejected.

The organisation name on the application form **must** be exactly the same as the name on the constitution you will provide (see exceptions for branches in 9.2).

#### 1.3 Structure

When you click on this you will be offered a choice of options. Most organisations applying will be Unincorporated Associations but if you are not sure, select 'Other' and then describe what type of organisation you would call yourself.



## SECTION 2 – FUNDING DETAIL

### Grant Select

#### 2.1 What level of grant are you applying for?

Depending on which grant programme you are applying to you may have a choice of level of grant. It is important you choose the grant programme and level that best meets your project. Details of all grant programmes and how they will be scored are detailed at 8.1.

### Description

#### 2.2 Title of Project

This title will be used in any correspondence with you so should be something short that you will recognise.

Brief Description of the project or activity for which funding is being sought.

This box should provide sufficient detail to enable Council Officers to understand what your project is about and what you will do. It should specifically note how the project or activity will address the need identified in Question 2.5. You should provide as much specific detail as possible including the type of project (eg Core Costs, Community Festival, Training Course).

How many people will benefit directly from your project?

**Please tell us how many people will take part in your activity or attend your event. For example, if twelve young people attend a six-week programme aimed at reducing anti-social behaviour there will be twelve direct beneficiaries.**

How many people will benefit indirectly from your project?

This question is asking about the other people who benefit indirectly as a result of those taking part in your project or activity. Using the example above, as a result of the twelve young people taking part in the programme, 50 local residents might benefit as a result of reduced anti-social behaviour in the community. Not all projects may have indirect beneficiaries.

Where will your project be delivered?

Please tell us the name of the venue or site and address where you will deliver your project.

What is the duration of your project?

You will have already told us the start and end date but here we need to know the duration. For example, if running a course you might state that it is running three hours per session, one session per week for six weeks. For an event this might be one afternoon for three hours.

## Finance

2.3 Detail all the costs of your project/activity and how much funding you are applying to us for.

- a) Indicate the amount of funding you require from this grant programme (this must not exceed the maximum and should be the minimum amount necessary to make your project/activity happen). It should be noted that Council may choose to fund less than you request.
- b) Be as specific as possible and detail all costs associated with the project even if you are not asking us to fund all costs
- c) Ensure your costings are realistic – get quotes and do not guess amounts
- d) Detail each specific cost on a separate line. Rather than writing in one line 'Family fun day' you must detail the specific costs of your family fun day each on a separate line (eg bouncy castles [line 1], toilet hire [line 2], first aid cover [line 3], advertising [line 4]) giving a cost for each separate item.
- e) Multiple items listed on the same line may result in the whole line being disallowed.

2.4 If you are not asking us for 100%, where will the balance of funding come from?

We need to know where you are planning to get the balance of your money from. This might be from another funder or from your own funds.

You should also let us know (in answer to Question 3.1) if you are applying to another funder for the same costs as you are requesting from us. **While it is not wrong to apply, it would be fraudulent to accept more than one offer of funding to cover the same costs.**

Will there be a charge to take part in your project/activity or attend your event?

You **must** tell us if you are planning to charge people to attend your event or take part in your activity. We need to know how much you plan to charge per head and how much you expect to raise from charging.

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**Example Answer:** *Our group carried out an audit of older people's needs in 2014 by asking 100 people aged over 55 what they saw as the biggest issues affecting them. The top issue was social isolation (85%) and one of the activities people wished to see in their community (65%) was a weekly older people's group. We then consulted with a focus group of 12 older people in relation to a specific programme and they indicated they would like a computer class which will focus on using the internet and include the use of social media. We have asked around and are not aware of any such service being offered locally.*

---

## Project Details

2.5 Describe the evidence of need for the proposed activity and why existing provision does not address this.

Evidence of need: how do you know your project is needed?

- a) Are there published statistics which show a need? Have you carried out a community survey and what evidence did this produce? Did you run a focus group of members to find out what activities they wanted? Have you run this event before and each time attendance has increased or did you carry out an exit survey to see if people felt it should be run again? Were you oversubscribed and is there a waiting list? (not all of these questions are relevant to all projects)
- b) You should detail as much evidence as possible and be specific. Quote numbers where possible.
- c) Officers will use your answer here when assessing the need for the project. In addition, officers will also assess whether there is a financial need for funding based on information given elsewhere in the application form or supporting documents.
- d) Groups applying to a revenue grant programme where the maximum available is £10k or more will have to provide accounts (independently verified or audited) which must include a balance sheet. Where the balance sheet provided indicates unrestricted funds equivalent to at least one year's annual costs of the organisation then it will be deemed there is no financial need for a grant. The exception to this case will be where the group can demonstrate a valid reason for holding such high levels of free reserves.

Why existing provision does not address this:

- a) Is a similar activity being offered in your community already and is oversubscribed?

- b) If there is no similar activity being offered then you must state this
- c) Council will not fund the same activity in the same areas.<sup>6</sup> Describe your organisation's ability/experience in delivering such a project or activity

We need to be confident your group will be able to deliver the proposed project. Please tell us if:

- a) Your group has delivered such a project before and how often
- b) Members have undertaken relevant training to help deliver such a project
- c) You have members on your committee or in your group who have specific skills and experience of managing and delivering projects, even if your group has not delivered such a project in the past
- d) You plan to seek advice and support from outside your organisation to help deliver the project
- e) Has your group experience of managing grants before of a similar size? If so, give details of funders and amounts

2.7a Describe how your project relates to Council's priorities? (there is a different question for applications to the International Tourism Event Fund – see note 'g' on P23)

Council's priorities are detailed in Appendix 9.3. You need to tell us which priority (from the drop-down list) your project contributes to and describe how your project helps deliver these. If you fail to adequately describe how your project meets the specific priority, it is unlikely to be funded.

Groups applying for over £1,000 will also be asked to select an indicator from a drop-down list and set a target related to the achievement of that indicator. The target is a percentage figure chosen by the group between 1 and 100. You will be asked to report back on how well you achieved against your target in your post-project evaluation form.

## Programme Objectives

As well as overall eligibility criteria, projects must meet specific grant programme objectives. Each grant programme has a different set of objectives and these are detailed in and in this section of the application form.

This page of the application form states the minimum number your project should be meeting. By ticking the ones your project will meet, further boxes are opened below this for you to complete. (NB – this section of the application form will appear for all grant programmes except International Tourism Event Fund.

Describe how your project contributes to this programme objective

You should give as much detail as possible in relation to how your project will meet this specific grant programme objective. You should assume the Officers assessing your application know nothing about your project and therefore how it will meet the grant programme objectives.

## SECTION 3 – OTHER INFORMATION

### Any Other Information

3.1 Please provide any additional information which you feel is relevant to your application

While Council is under no obligation to use the information provided in this section, and it is unlikely to form part of the scoring of applications, you may wish to provide additional information to explain or clarify any issue raised above. This is also an opportunity to explain anything which does not fit easily into any of the boxes in Section 2 of the application form.

In particular, if you have applied to another funder for the same costs you are applying to us for, then you must detail this information in this section.

3.2 Document/Evidence Checklist

You must complete this checklist indicating whether you can provide the documents that will be requested if your application is successful. While it will not prevent you being awarded a grant, you will not receive any money until you provide them.

3.3 You must confirm by ticking the boxes below that your organisation is, has, or will have by the commencement of the proposed project and for its duration, the following:

Your group must confirm the list of statements detailed in this question by ticking the boxes. All boxes must be ticked to be able to submit an application. We will no longer ask you for any evidences of these by default but Council may choose to spot check these and reserves the right to withhold grant should any declaration prove to be inaccurate or the documents are not available.

## Documents

### Supporting Documents

You must upload a signed and dated copy of your constitution with the application. (Please note this is a change to previous years)

You must also provide other essential documentation (see supporting documents checklist). Your application will not be assessed and will be deemed ineligible unless **ALL** essential documentation is provided at time of application submission. (Please note this is a change to previous years)

## Submission

This is important and you should read very carefully before you sign. Online applicants will be asked to agree the declaration on behalf of the group. Those completing the paper version of the application must have it signed by an Office-bearer and another committee member.

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